

12-26-01

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PATENT

Docket No. IL-10824Customer No. 24981

Commissioner for Patents

Washington, DC 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of Inventor(s):

Ai Quoc Pham and Robert S. Glass

For (title): **CERIA-BASED SOLID OXIDE FUEL CELLS**

1. Type of Application

☒ This new application is for an original patent.☐ This new application is a:☐ Division☐ Continuation☐ Continuation-in-part (CIP)

2. Benefit of Prior U.S. Application(s) (35 USC 120)

☐ The new application being transmitted claims the benefit of prior U.S. application(s).3. ☒ Benefit under 35 U.S.C. 119(e) of United States provisional application(s) listedbelow: 06/274200 3/8/2001
Application Serial No. Filing Date

4. Papers enclosed which are required for filing Date Under 37 CFR 1.53(b).

10 Pages of specification2 Pages of claims1 Pages of abstract2 Sheets of drawings☒ formal

5. Additional papers enclosed

☐ Preliminary Amendment☒ Information Disclosure Statement☒ Form PTO-1449

6. **Declaration or oath**

- ☒ Enclosed and executed by
- ☒ inventors
 - ☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43
- ☐ Not Enclosed

7. **Assignment**

- ☒ An assignment of the invention to The Regents of the University of California.
- ☒ is attached
 - ☐ will follow

8. **Certified Copy**

Certified copy(ies) of application(s)

(country)	(application no.)	(filed)
(country)	(application no.)	(filed)

from which priority is claimed

- ☐ is(are) attached.
- ☐ will follow

9. **Fee Calculation**

CLAIMS AS FILED					
Type of Claim	Number Filed	Included in Basic Fee	Number Extra	Rate	Total Fee
Total Claims	20	-20 =	0	x \$18 =	\$ 00.00
Independent Claims	3	-3 =	0	x \$80 =	\$ 00.00
				Multiple Claims =	\$
				Basic Filing Fee =	\$ 740.00
				Sub-Total =	\$ 740.00
				Small Entity Filing Fee=	\$ 370.00

10. Small Entity Statement(s)

- ☒ Verified Statement that this is a filing by small entity under 37 CFR 1.9 and 1.27 is attached.

Filing Fee Calculation (50% of regular filing fee) \$ 370.00

11. Fee Payment

- ☐ Not Enclosed
☒ Enclosed (See Account No. Below)

Total Basic Filing Fees To Be Paid \$ 370.00

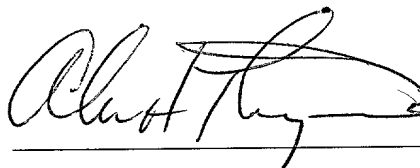
12. Method of Payment of Fees

- ☐ Check in the Amount of \$ _____
☒ Charge Account No. 12-0695 in the amount of \$ 370.00

A duplicate of this transmittal is attached.

13. Instructions As To Overpayment/Underpayment

- ☒ credit/charge
Account No. 12-0695
☐ refund



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Dated: 12-17-2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ai Quoc Pham et al.

Attorney Docket No.: IL-10824

Serial No. :

Art Unit:

Filed :

Examiner:

For : CERIA-BASED SOLID OXIDE FUEL CELLS

Commissioner for Patents
Washington, D.C. 20231EXPRESS MAIL CERTIFICATE"Express Mail" label number EL674028529USDate of Deposit 12-17-2001I hereby certify that the following *attached*

1. Recordation
2. Assignment
3. New Application Transmittal (in duplicate)
4. Application
(Specification 10 pages, Claims 2 pages, Abstract 1 page)
Combined Declaration Power of Attorney, Two (2) sheets of
formal drawings
5. Information Disclosure Statement, Form PTO-1449, 1 Disclosure
6. Return postcard

is being deposited with the United States Postal Service "Express Mail Post Office to addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the U.S. Patent and Trademark Office Washington, DC 20231 Box: Patent Application

Teresa Walls

(Type or print name of person mailing paper)



(Signature of person mailing paper or fee)

"Patent" 666001